



**SUPERVISING IN AN  
INCREASINGLY  
FRAGILE WORLD**

**Jul. 29 -  
Aug. 2,  
2024**

*Virtual edition  
[via Zoom]*

## **Program Agenda**



## Monday, July 22, 2024 – Networking session and Introduction to Leadership Skills

7:45 am –  
8:00 am

### Registration

8:00 am –  
8:15 am

### Session 1: Orientation to Virtual Program

*Program Coordinator, Toronto Centre*

- Virtual learning best practices
- Questions, chats, and polls
- Introduction to the TC Programs Learning Management System
- Breakout rooms activities

8:15 am –  
9:30 am

### Session 2: Networking – Participants' Roundtable

*Facilitator, Toronto Centre*

- Introductions by name, position, authority, and country
- What is leadership? What skills does a leader need?

9:30 am –  
9:35 am

### Coffee Break

9:35 am –  
11:00 am

### Session 3: Leadership Skills: Action Planning Methodology

*Richa Goyal, Program Director, Toronto Centre*

#### Group Activity

TC will provide a problem statement and participants will assess whether the problem statement is concise and precise.

#### Leadership skills: Stakeholder Management

*Richa Goyal, Program Director, Toronto Centre*

#### Group Activity

TC will provide an action plan. Participants will identify two key stakeholders with the power to hinder or help with the action plan.

11:00 am

### End of Session

**Monday, July 29, 2024**

**8:30 am –  
8:45 am**

**Welcome and Opening Remarks**

*Babak Abbaszadeh, President and CEO, Toronto Centre*

- Group Photograph

**8:45 am –  
9:30 am**

**Session 1: About Toronto Centre and Context for Change**

*Richa Goyal, Program Director, Toronto Centre*

*Jean Lorrian, Advisory Board Chair, Securities sector, Toronto Centre*

- Years of turmoil
- Changing economies
- Market impacts
- Financial crime
- Cyber risk
- Climate change risk, sustainability, and biodiversity loss
- SDGs – financial inclusion, gender equality, and food security

**9:30 am –  
10:30 am**

**Session 2: Financial Crime in the Securities Sector**

*Program Leader*

- Financial Crime involving the securities market
  - Fraud
  - Market abuse, market manipulation & insider dealing
  - Money laundering
  - Financial sanctions
- Emerging trends with crypto assets
- Perpetrators of financial crime: internal or external
- Supervision – on-site and off-site
- New technologies to assist in detection and enforcement – data analysis
- Interagency and cross-border collaboration

**10:30 am –  
10:45 am**

**Coffee Break**

**Monday, July 29, 2024**

**10:45 am – 12:00 pm      **Session 2 (cont'd): Financial Crime in the Securities Sector****

**12:00 pm**

*Program Leader*

- Group activity: A case study on a financial crime incident and supervisory response/actions

**12:00 pm – 12:15 pm      **Action Planning Overview – Focus on New and Emerging Risks****

**12:15 pm**

**12:15 pm      **End of Day Evaluation****

***NOTE: Participants will work on their action plans with program leaders (PLs). A certain time slot is assigned before or after each day depending on time zones.***

**Tuesday, July 30, 2024**

- 8:00 am – 8:30 am**      **Action Planning Group Work 1**
- Program Leaders work with assigned morning groups
- 8:30 am – 8:45 am**      **Ideas I Will Use**
- 8:45 am – 10:15 am**      **Session 3: Financial Crime in the Securities Sector: Preventive Actions**
- Program Leaders*
- Governance, organisational structure, and measures –
  - Operational management and controls
  - AML /CFT
  - Surveillance – market abuse
  - Investor awareness and financial literacy (impact on investor protection, financial inclusion and gender equality)
- 10:15 am – 10:30 am**      **Coffee Break**
- 10:30 am – 11:55 am**      **Session 3 (cont'd): Financial Crime in the Securities Sector: Preventive Actions**
- Group activity on supervisory tools and assessment of governance, organisation structure, and measures (including operational risk and AML risk management) to mitigate financial crime risk
- 11:55 am – 12:00 pm**      **End of Day Evaluation**
- 12:00 pm – 12:30 pm**      **Action Planning Group Work 2**
- Program Leaders work with assigned afternoon groups
- 12:30 pm**      **End of Day**

**Wednesday, July 31, 2024**

- 8:00 am – 8:30 am**            **Action Planning Group Work 1**
- Program Leaders work with assigned morning groups
- 8:30 am – 8:45 am**            **Ideas I Will Use**
- 8:45 am – 10:15 am**        **Session 4: Cyber Risk**  
*Program Leader*
- New tools
  - Assistance to market participants
  - Risk management framework
  - Thematic reviews – information on best practices
  - Reputational risk – disclosure of data breaches and use of client data (at risk of fraud) – disclosure to clients
  - Artificial Intelligence (threat or enabler to prevent data breaches)
- 10:15 am – 10:30 am**        **Coffee Break**
- 10:30 am – 11:55 am**        **Session 4 (cont'd): Cyber Risk**  
*Program Leader*
- Group Activity on a cyber risk incident in the securities sector.
- 11:55 am – 12:00 pm**        **End of Day Evaluation**
- 12:00 pm – 12:30 pm**        **Action Planning Group Work 2**
- Program Leaders work with assigned afternoon groups
- 12:30 pm**                **End of Day**

**Thursday, August 1, 2024****8:00 am – Action Planning Group Work 1****8:30 am**

- Program Leaders work with morning groups

**8:30 am – Ideas I Will Use****8:45 am****8:45 am – Session 5: Climate Change, Biodiversity Risk, Sustainability, and Food Security**  
**10:15 am***Program Leader, Toronto Centre*

- Why climate change, biodiversity loss, and food insecurity matter for you
- How securities market supervisors can reduce related risks and strengthen for the future
- Financing these sustainability goals
  - Opportunities and challenges
  - Financing instruments
  - Blended finance, impact investing, and ESG strategies: How they play a role
  - Preventing “green” (or other colour) washing

Group Activity: Understanding the critical challenges these issues raise and how financing can be used to create a more positive future.

**10:15 am – Coffee Break****10:30 am****10:30 am – Session 5 (cont'd): Climate Change, Biodiversity Risk, Sustainability, and Food Security**  
**11:55 am**

- The new ISSB Sustainability and Climate-Related Disclosure Standards
  - Why the standards are a major step forward for improving the future and achieving supervisory goals
  - Main elements
  - Opportunities and challenges
  - Key policy decisions and actions for supervisors
  - Next steps for the ISSB
- Group Activity: Understanding and implementing the ISSB Standards.

**Thursday, August 1, 2024**

**11:55 am – End of Day Evaluation**

**12:00 pm**

**12:00 pm – Action Planning Group Work 2**

**12:30 pm**

- Program Leaders work with assigned afternoon groups

**2:30 pm End of Day**



**Friday, August 2, 2024**

**8:30 am –  
10:05 am**

**Session 6: Action Plan Presentations by Participants**

- Participants will be divided into groups and each group will be assigned a Program Leader. In virtual breakout rooms, participants will present their action plans and receive feedback from the Program Leader and colleagues in their respective groups.

**10:05 am –  
10:15 am**

**Coffee Break**

**10:15 am –  
11:45 am**

**Session 6 (cont'd): Action Plan Presentations by Participants**

**11:45 am –  
12:45 pm**

**Session 7: Panel Discussion**

**12:45 pm –  
1:00 pm**

**End of Program Evaluation and Certificates**

**1:00 pm**

**Closing Ceremony**